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Appln. No.: 09/547,409 (FINN-002)

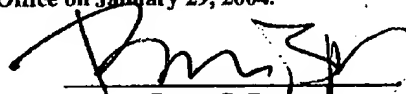
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FINN-002
PATENT APPLICATION

OFFICIAL

CERTIFICATE OF FACSIMILE TRANSMISSION

Pursuant to 37 C.F.R. 1.8, I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on January 29, 2004.


Bruce G. Berlstein

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Gregory Finn et al.

Appln. No.: 09/547,409

Group Art Unit: 3628

Filed: April 12, 2000

Examiner: Clement B. Graham

For: INVESTMENT SYSTEM AND METHOD

* * *

AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sent Via Facsimile: (703) 305-7687

Sir:

In response to the Office Action mailed October 3, 2003, the period for response to which has been extended through February 3, 2004, please amend the above-identified patent application as indicated below.

A complete listing of all claims in the present application, including current amendments to the claims, begins on page 2 of this paper.

Remarks begin on page 12 of this paper.

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